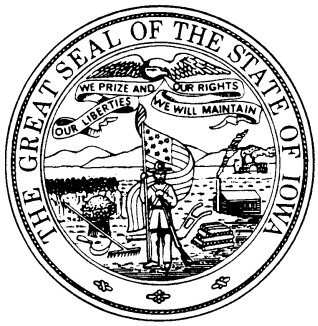
IOWA
COURT RULES

FIFTH EDITION

June 2014 Supplement



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STEPHANIE A. HOFF
ADMINISTRATIVE CODE EDITOR

PREFACE

The Fifth Edition of the Iowa Court Rules was published in July 2009 pursuant to Iowa Code section 2B.5(2). Subsequent updates to the Iowa Court Rules, as ordered by the Supreme Court, are published in electronic format only and include chapters that have been amended or adopted.

The Iowa Court Rules and related court documents are available on the Internet at https://www.legis.iowa.gov/IowaLaw/courtRulesListing.aspx.

To receive e-mail notification of the publication of a Supplement to the Iowa Court Rules, subscribe at https://www.legis.iowa.gov/Subscribe/subscriptions.aspx.

Inquiries: Inquiries regarding access to the Iowa Court Rules should be directed to the Legislative Services Agency's Computer Services Division Help Desk at (515)281-6506.

Citation: The rules shall be cited as follows:

Chapter 1	Iowa R. Civ. P.
Chapter 2	Iowa R. Crim. P.
Chapter 5	Iowa R. Evid.
Chapter 6	Iowa R. App. P.
Chapter 32	Iowa R. of Prof'l Conduct
Chapter 51	Iowa Code of Judicial Conduct

All other rules shall be cited as "Iowa Ct. R."

Supplements: Supplements to the Fifth Edition of the Iowa Court Rules have been issued as follows:

August 2009	December 2010	September 2013
September 2009	February 2011	November 2013
October 2009	January 2012	December 2013
November 2009	May 2012	January 2014
December 2009	June 2012	March 2014
January 2010	August 2012	April 2014
February 2010	September 2012	
March 2010	December 2012	
May 2010	March 2013	
June 2010	May 2013	
August 2010	June 2013	
September 2010	August 2013	

June 2014 Supplement

Changes in this supplement

Rule 7.11, Forms 1 to 5 . . . . . Replaced

Rule 7.11, Form 6 . . . . . Adopted

INSTRUCTIONS FOR UPDATING THE IOWA COURT RULES

Replace Chapter 7

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CHAPTER 7
RULES OF PROBATE PROCEDURE

Rule 7.1 Effective removal order — turnover. When the court orders the removal of a fiduciary under Iowa Code section 633.65, such order, unless expressly providing otherwise, shall be effective as a turnover order under Iowa Code section 633.70, and without further order the fiduciary so removed shall turn over all personal property, money or securities to or for the fiduciary's successor at the clerk's office within five days after such order is filed.
[Court Order November 16, 1965; November 14, 1979; Report November 9, 2001, effective February 15, 2002]

Rule 7.2 Fees in probate.

7.2(1) Every report or application requesting an allowance of fees for personal representatives or their attorneys shall be written and verified as provided in Iowa Code section 633.35.

7.2(2) When fees for ordinary services are sought pursuant to Iowa Code sections 633.197 and 633.198, proof of the nature and extent of responsibilities assumed and services rendered shall be required. Unless special circumstances should be called to the court's attention, the contents of the court probate file may be relied upon as such proof. In determining the value of gross assets of the estate for purposes of Iowa Code section 633.197, the court shall not include the value of joint tenancy property excluded from the taxable estate pursuant to Iowa Code section 450.3(5) or the value of life insurance payable to a designated beneficiary.

7.2(3) When an allowance for extraordinary expenses or services is sought pursuant to Iowa Code section 633.199, the request shall include a written statement showing the necessity for such expenses or services, the responsibilities assumed, and the amount of extra time or expense involved. In appropriate cases, the statement shall also explain the importance of the matter to the estate and describe the results obtained. The request may be made in the final report or by separate application. It shall be set for hearing upon reasonable notice, specifying the amounts claimed, unless waivers of notice identifying the amounts claimed are filed by all interested persons. The applicant shall have the burden of proving such allowance should be made.

7.2(4) One half of the fees for ordinary services may be paid when the federal estate tax return, if required, and Iowa inheritance tax return, if required, are prepared. When a federal estate tax return is not required, the one-half fee may be paid when the Iowa inheritance tax return is prepared or, when it is not required, when the probate inventory required by the Iowa Probate Code is filed. The remainder of the fees may be paid when the final report is filed and the costs have been paid. The schedule for paying fees may be different when so provided by order of the court for good cause.

7.2(5) Every report or application requesting compensation for other fiduciaries and their attorneys pursuant to Iowa Code section 633.200 shall be written and verified.

7.2(6) When compensation has been allowed to a person employed by the fiduciary or attorney to assist the estate pursuant to Iowa Code section 633.84, the request for fees by the fiduciary shall disclose the identity of such person and the amount allowed, for consideration by the court in determining fees for the fiduciary pursuant to Iowa Code section 633.86.
[Court Order November 14, 1979; Report September 5, 1985, effective November 15, 1985; November 9, 2001, effective February 15, 2002; November 23, 2004, effective February 1, 2005]

Rule 7.3 District court rules in probate. A district court rule of probate and administration shall not be valid until it has been filed with the clerk of the supreme court and approved by that court.
[Court Order November 14, 1979; Report November 9, 2001, effective February 15, 2002]

Rule 7.4 Report of referee. A report of a referee in probate shall substantially comply with the form that accompanies these rules.
[Report November 9, 2001, effective February 15, 2002]

Rule 7.5 Referees in probate.

7.5(1) *Duties.*

a. Referees as masters. Unless otherwise directed by the court, a referee in probate appointed by the district court pursuant to Iowa Code section 633.20, and determined by the court to be qualified to serve as a master, shall have the powers to perform all the duties required of masters appointed by

the court in civil actions (Iowa Rs. Civ. P. 1.935 - 1.942) and shall examine all reports, applications and petitions in probate and in trusts requiring action by the court.

b. Other referees. A referee in probate not determined by the court to be qualified to serve as a master shall have authority to examine probate files to make the report provided by rule 7.4.

c. Referee reports. The report of the referee shall be in writing on a form which substantially complies with the form that accompanies these rules and shall contain such matters as the court may request as shown by the files and reports in the clerk's office. If the referee is authorized to act as a master, the report shall also contain recommendations of the referee as to what ought to be done relative to the reports when considered by the court. No final report will be approved until the report of the referee is presented to the court, it being contemplated that such presentation shall be made expeditiously and without delay.

d. Other duties. In addition to the powers and duties of the referee in probate prescribed by this rule, the referee shall perform such duties as the court may require.

7.5(2) *Fees.*

a. The referee shall be paid a fee for services as permitted under a schedule established under Iowa Code section 633.21, unless a referee and any assistant are appointed for the county for all matters in probate in the county and are paid an annual compensation.

b. Referee fees shall be taxed and collected by the clerk as other costs, and such fees shall be in addition to all other fees charged and collected by the clerk in probate matters as required by Iowa Code section 633.31.

c. In such cases where a referee and any assistant are paid an annual compensation, any excess of fees remaining after payment of such other expenses as are approved by the court shall be disbursed pursuant to the Code.

[Court Order December 18, 1980, effective January 1, 1981; Report November 9, 2001, effective February 15, 2002]

Rule 7.6 Reports of delinquent inventories or reports.

7.6(1) The clerk's report to the presiding judge required by Iowa Code section 633.32, of all delinquent inventories or reports in estates, trusts, guardianships or conservatorships shall contain, in addition to the information required by Iowa Code section 633.32(3), a copy of each delinquency notice and, if they do not appear on the face of the delinquency notice, the following information for each delinquent inventory or report:

a. The probate number of the matter.

b. The title of the matter.

c. An indication of whether the matter is an estate, trust, guardianship, or conservatorship.

d. The name and address of the fiduciary.

e. The name and address of the attorney, if any, for the fiduciary.

f. The type of delinquent inventory or report.

g. The date notice of delinquency was given.

h. A statement that the required report or inventory or an order extending time for a specified period was not filed within 60 days after the giving of notice of delinquency.

i. The date the matter was opened.

j. The name of the last paper filed by the fiduciary or attorney and the date of filing such paper.

k. The number, including "zero" if appropriate, of previous delinquency notices given in the matter and ignored.

7.6(2) The clerk shall submit a copy of the report to the chief judge of the judicial district and the state court administrator in addition to the presiding judge as required by Iowa Code section 633.32(2). If an order extending time for a specified period was filed but not complied with, the clerk shall proceed as in instances in which an order is not filed.

7.6(3) The state court administrator shall utilize the reports in the discharge of the duties prescribed in Iowa Code section 602.1209 and, in addition, shall prepare a list of the attorneys for fiduciaries who have received and ignored a notice of delinquency. The state court administrator shall transmit the list of attorneys, together with other relevant information, to the Iowa Supreme Court Attorney Disciplinary Board and to the Client Security Commission.

7.6(4) The Iowa Supreme Court Attorney Disciplinary Board, as a commission of the supreme court pursuant to Iowa Ct. R. 35.2, shall communicate with each attorney licensed to practice law in Iowa whose name appears on the list transmitted to the board pursuant to rule 7.6(3). If the board determines there is reasonable cause to believe an attorney for a fiduciary has violated Iowa R. of

Prof'l Conduct 32:1.3 or 32:3.2 for failure to file a required inventory or report within 60 days after receiving notice of delinquency, or within an extension of time for a specified period granted by order, the board shall initiate appropriate disciplinary action. The board chairperson shall include the number of attorneys investigated and complaints initiated and processed pursuant to this rule, a synopsis of each such complaint, and the disposition thereof, in the annual board report to the supreme court required by Iowa Ct. R. 35.25.

7.6(5) The assistant court administrator of the disciplinary system is authorized to inquire into the status of any delinquent probate inventory or report.

[Court Order March 13, 1980; October 20, 1981; 1983 Iowa Acts, chapter 186, §10151; January 17, 1995, effective April 3, 1995; Report November 9, 2001, effective February 15, 2002; August 29, 2002, effective December 1, 2002; April 20, 2005, and July 1, 2005, effective July 1, 2005; February 20, 2012]

Rule 7.7 Interlocutory report. If the final report of the personal representative required by Iowa Code section 633.477 is not filed within 18 months after the date of the second publication of the notice to creditors, the personal representative shall at that time file an interlocutory report in accordance with Iowa Code section 633.469. The report shall identify the work remaining to be done in the estate and shall include an estimate of the period within which the work will be completed. The personal representative shall provide copies of the report to all interested parties by mailing, and proof of mailing shall be filed with the clerk. An order of the court approving the report shall not be required unless hearing on the report is held upon request of the personal representative or an interested party. The provisions of Iowa Code section 633.32 and rule 7.6 shall apply to the report required by this rule.

[Report August 22, 1985, effective November 1, 1985; November 9, 2001, effective February 15, 2002]

Rules 7.8 to **7.10** Reserved.

Rule 7.11 Forms.
Rule 7.11 — Form 1: ***Report of Referee.***

In the Iowa District Court for _____ County

In the Matter of the Estate of:	Probate no._____
_____ *Full name: first, middle, last*	**Report of Referee**
Deceased.	

Comes now the duly appointed Referee and reports to the court as follows:
The Report has been filed in this Estate. The Referee has examined the Report and reports to the court as follows: *All questions must be answered. If "Yes" or "No" is not appropriate, check "N/A."*

		Yes	No	N/A
1.	Notice of Appointment published:	☐	☐	☐
2.	Affidavit of Mailing Notice required by			
	A. Iowa Code sections 633.230 and 633.304:	☐	☐	☐
	B. Iowa Code sections 633.231 and 633.304A (medical assistance claims):	☐	☐	☐
3.	Fiduciaries fees ordered or waived and affidavit of compensation filed:	☐	☐	☐
4.	Attorney fees ordered and affidavit of compensation filed:	☐	☐	☐
	A. Itemization requested and provided:	☐	☐	☐
	B. If not, statement required by Iowa Code section 633.477(11) made:	☐	☐	☐
5.	Income tax acquittance filed:	☐	☐	☐
6.	Inheritance tax clearance filed or certification required by Iowa Code section 450.58 made:	☐	☐	☐
7.	A list of distributees is shown:	☐	☐	☐
8.	A description of real estate is shown:	☐	☐	☐
9.	Certificates of change of title to real estate, as required:	☐	☐	☐
10.	All claims filed have been paid, disallowed, or released:	☐	☐	☐
11.	Notice of hearings on this Report waived:	☐	☐	☐
	If not waived, proper proof of service of notice is on file:	☐	☐	☐
12.	Accounting is waived:	☐	☐	☐
13.	Court costs have been paid:	☐	☐	☐
14.	Election filed by or for surviving spouse under Iowa Code section 633.236:	☐	☐	☐
15.	Receipts for all specific bequests:	☐	☐	☐

Rule 7.11—Form 1: Report of Referee, continued

	Yes	No	N/A
16. Federal estate tax closing letter and proof of payment is on file (not required for closing):	☐	☐	☐
17. There is a statement that decedent left genetic material:	☐	☐	☐
A. Decedent left genetic material:	☐	☐	☐
B. If decedent left genetic material and was married at time of death, sufficient estate assets are reserved to fund distribution to posthumous heirs:	☐	☐	☐
C. Posthumous child (Iowa Code section 633.220A) or child born or adopted after execution of will (Iowa Code section 633.267):	☐	☐	☐
D. Final distributions will be made two years after decedent's death:	☐	☐	☐
E. Supplemental report will be submitted after final distributions:	☐	☐	☐

18. Remarks:

_____

_____

_____

_____ _____, 20____ /s/_____
Month *Day* *Year* *Referee in probate signature*

_____
Attorney law firm, if applicable

_____ _____ _____ _____
Mailing address *City* *State* *ZIP code*

(_____) _____ _____ _____
Phone number *Email address* *Additional email address, if applicable*

[Court Order November 14, 1979; December 3, 1981; November 14, 1984, effective November 26, 1984; Report September 5, 1985, effective November 15, 1985; February 18, 1987, effective July 1, 1987; September 23, 1994, effective January 3, 1995; November 9, 2001, effective February 15, 2002; July 23, 2003, effective October 1, 2003; November 30, 2005, effective March 1, 2006; April 11, 2008, effective July 1, 2008; May 23, 2014, effective August 16, 2014]

Rule 7.11 — Form 2: ***Initial/Annual/Final Report of Guardian.***

If filing electronically, you must provide any protected information in full on form 6, according to Division VI of Chapter 16 of the Iowa Court Rules.

If filing in paper, you may use form 6 to provide any protected information in full.

In the Iowa District Court for _____ County

In the Matter of the Guardianship of: _____ *Full name: first, middle, last* *If the Ward is a minor, use initials only* **Ward.**	Probate no._____ *Check one* ☐ **Initial** ☐ **Annual** ☐ **Final** **Report of Guardian**

The undersigned duly appointed and qualified Guardian states as follows:

1. This Report is for the period from: _____/_____/_____to_____/_____/_____
Month Day Year Month Day Year

2. The current mental and physical condition of the Ward is:

_____

_____

☐ *Check this box if you have attached a sheet with additional information.*

3. The present living arrangement of the Ward, including a description of each residence where the Ward has resided and with whom during the reporting period is:

_____

_____

_____

☐ *Check this box if you have attached a sheet with additional information.*

4. The following is a summary of the medical, educational, vocational, and other professional services provided for the Ward:

_____

_____

_____

☐ *Check this box if you have attached a sheet with additional information.*

5. The following is a description of the Guardian's visits with and activities on behalf of the Ward:

_____

_____

_____

☐ *Check this box if you have attached a sheet with additional information.*

Rule 7.11 — Form 2: Initial/Annual/Final Report of Guardian, continued

6. The Ward's year of birth is: _____
On Initial Report only

7. The Ward is: ☐ single. ☐ married. ☐ divorced.

8. Is the Ward a minor? ☐ Yes ☐ No

If the Ward is a minor, names and addresses of parents:

Name of parent	*Street address*	*City*	*State*	*ZIP code*
Name of parent	*Street address*	*City*	*State*	*ZIP code*

9. Guardianship is recommended to be: ☐ continued. ☐ terminated.
If termination is recommended, give reason(s). A hearing may be required on the matter of termination.

_____

_____

☐ *Check this box if you have attached a sheet with additional information.*

10. Other information the court requested that is useful in the opinion of the Guardian:

_____

_____

_____

☐ *Check this box if you have attached a sheet with additional information.*

11. Have final court costs been paid? ☐ Yes ☐ No

12. Oath and Signature

I, _____, have read this Report, and I certify under penalty
Print Guardian's name
of perjury and pursuant to the laws of the State of Iowa that the information I have provided in this Report is true and correct.

_____ _____, 20____ _____
Month *Day* *Year* *Guardian's signature**

_____ _____ _____ _____
Mailing address *City* *State* *ZIP code*

(_____) _____ _____ _____
Phone number *Email address* *Additional email address, if applicable*

** Whether filing electronically or in paper, you must handwrite your signature on this form. If you are filing electronically, scan the form after signing it and then file electronically.*

Note: Bank statements, checks, receipts, stubs, and other items evidencing receipt of funds and payment must be available to the court on demand.

[Court Order November 15, 1984, effective December 10, 1984; December 4, 1984, effective December 10, 1984; March 10, 1987, effective July 1, 1987; Report November 9, 2001, effective February 15, 2002; May 23, 2014, effective August 16, 2014]

Rule 7.11 — Form 3: ***Initial Report of Conservator and Inventory.***

If filing electronically, you must provide any protected information in full on form 6, according to Division VI of Chapter 16 of the Iowa Court Rules.

If filing in paper, you may use form 6 to provide any protected information in full.

In the Iowa District Court for _____ County

In the Matter of the conservatorship of:	Probate no._____
_____ *Full name: first, middle, last* *If the Ward is a minor, use initials only* **Ward.**	**Initial Report of Conservator and Inventory**

The undersigned duly appointed and qualified Conservator states as follows:

1. The Ward's real and personal property as of the date Conservator was appointed, and the valuation of each item, is itemized on the attached schedules. A summary of the schedules follows:

Conservatorship assets *Attach descriptions*	**Total value**
A. Real estate	$_____
B. Stocks and bonds	$_____
C. Mortgages, notes, deposits, and cash	$_____
D. Life insurance	$_____
E. Jointly owned property	$_____
F. Miscellaneous property	$_____
Total of all schedules	$_____

2. The Ward resides at:_____ _____ _____ _____
Street address *City* *State* *ZIP code*

and *check one*

A. ☐ does not have a Guardian.

B. ☐ has a natural Guardian. *If you check* B, *you must fill in the following information.*

(1) The name and residency of the natural Guardian:

_____
Full name: first, middle, last

_____ _____ _____ _____
Street address *City* *State* *ZIP code*

C. ☐ has a court-appointed Guardian. *If you check* C, *you must fill in the following information.*

(1) The name and residency of the court-appointed Guardian:

_____
Full name: first, middle, last

_____ _____ _____ _____
Street address *City* *State* *ZIP code*

Rule 7.11 — Form 3: Initial Report of Conservator and Inventory, continued

3. The Conservator: ☐ has ☐ has not
established an ☐ interest-bearing ☐ non-interest-bearing

conservatorship checking account at _____
Name of financial institution

located at _____ _____ _____ _____
Street address *City* *State* *ZIP code*

The (partial) account number is:_____

4. A conservatorship savings account : ☐ has ☐ has not

been established at _____
Name of financial institution

located at _____ _____ _____ _____
Street address *City* *State* *ZIP code*

The (partial) account number is:_____

5. Other assets: ☐ have ☐ have not

been changed into conservatorship's name.

6. The Ward's sources of income and monthly or annual amounts are:

_____

_____

7. Oath and Signature

I, _____, have read this Initial Report and Inventory, and I certify
Print Conservator's name

under penalty of perjury and pursuant to the laws of the State of Iowa that the information I have provided in this Initial Report and Inventory is true and correct.

_____ _____, 20____ _____
Month *Day* *Year* *Conservator's signature**

_____ _____ _____ _____
Mailing address *City* *State* *ZIP code*

(_____) _____ _____ _____
Phone number *Email address* *Additional email address, if applicable*

* *Whether filing electronically or in paper, you must handwrite your signature on this form. If you are filing electronically, scan the form after signing it and then file electronically.*

Note: Bank statements, checks, receipts, stubs, and other items evidencing receipt of funds and payment must be available to the court on demand.

[Court Order November 15, 1984, effective December 10, 1984; December 4, 1984, effective December 10, 1984; Report November 9, 2001, effective February 15, 2002; May 23, 2014, effective August 16, 2014]

Rule 7.11 — Form 4: ***Annual Report of Conservator.***

If filing electronically, you must provide any protected information in full on form 6, according to Division VI of Chapter 16 of the Iowa Court Rules.

If filing in paper, you may use form 6 to provide any protected information in full.

In the Iowa District Court for _____ County

In the Matter of the Conservatorship of:	Probate no._____
_____ *Full name: first, middle, last* *If the Ward is a minor, use initials only* **Ward.**	**Annual Report of Conservator**

1. This Report is for the period from: *Use ending date of last accounting when applicable*

   _____/_____/_____to_____/_____/_____
   Month Day Year Month Day Year

2. Total cash on hand at close of the last accounting: $_____.

3. Total sum of funds received during this report period: $_____.
   Include as attachment "A" an itemization showing date received, source of funds, and amount.

4. Total sum of disbursements made during this report period: $_____.
   Include as attachment "B" an itemization showing date, who was paid, and amount paid for item or service.

5. Balance of cash on hand at the close of this report period: $_____.

6. The other assets of the Ward at the close of this report period are:
   Include a listing of assets held and the value or remaining balances as attachment "C."
   If assets remained the same from the last report, a copy of the last listing may be used.

7. Were changes made in investment during this report period? ☐ Yes ☐ No
   If yes, include as attachment "D" an itemized list of changes when applicable.

8. The total value of assets of the Ward at the close of this report period: $_____.

9. Amount of Conservator's bond: $_____. Surety is:_____.
   Company providing bond

10. Guardian: *Check one*

    A. ☐ The name of the Ward's Guardian is:_____
    Full name: first, middle, last

    B. ☐ The Ward has no Guardian. *If you check* B, *you must fill in the following information.*

    (1) The residence and physical location of Ward:

    _____ _____ _____ _____
    Street address *City* *State* *ZIP code*

    (2) General physical and mental condition of Ward:

    _____

    _____

11. Other information relating to affairs of the conservatorship:
If conservatorship has special circumstances that do not adapt to this form, include attachment "F," setting out special circumstances in detail.

12. Fees for Conservator are: ☐ hereby applied for ☐ waived.
Attach Affidavit per Iowa Code section 633.202.

13. Fees for Conservator's attorney: *Check one*

☐ should be set by the court.

☐ are not requested.

☐ are waived or not applicable.
Attach Affidavit per Iowa Code section 633.202, if fees requested.

14. Oath and Signature

I, _____, have read this Report, and I certify under
Print Conservator's name
penalty of perjury and pursuant to the laws of the State of Iowa that the information I have provided in this Report is true and correct.

_____ _____, 20____ _____
Month *Day* *Year* *Conservator's signature**

_____ _____ _____ _____
Mailing address *City* *State* *ZIP code*

(_____) _____ _____ _____
Phone number *Email address* *Additional email address, if applicable*

* *Whether filing electronically or in paper, you must handwrite your signature on this form. If you are filing electronically, scan the form after signing it and then file electronically.*

Note: Bank statements, checks, receipts, stubs, and other items evidencing receipt of funds and payment must be available to the court on demand.

[Court Order November 15, 1984, effective December 10, 1984; December 4, 1984, effective December 10, 1984; Report November 9, 2001, effective February 15, 2002; May 23, 2014, effective August 16, 2014]

Rule 7.11 — Form 5: ***Final Report of Conservator.***

If filing electronically, you must provide any protected information in full on form 6, according to Division VI of Chapter 16 of the Iowa Court Rules.

If filing in paper, you may use form 6 to provide any protected information in full.

In the Iowa District Court for _____ County

In the Matter of the Conservatorship of:	Probate no._____
_____ *Full name: first, middle, last* *If the Ward is a minor, use initials only* **Ward.**	**Final Report of Conservator**

1. This Final Report is for the period from: *Use ending date of last accounting when applicable*

_____/_____/_____to_____/_____/_____
Month Day Year Month Day Year

2. Total cash on hand at close of the last accounting: $_____.

3. Total sum of funds received during this report period: $_____.
Include as attachment "A" an itemization showing date received, source of funds, and amount.

4. Total sum of disbursements made during this report period: $_____.
Include as attachment "B" an itemization showing date, who was paid, and amount paid for item or service.

5. Balance of cash on hand at the close of this report period: $_____.

6. Other assets of the Ward at the close of this Final Report.
Include a listing of assets held and the value or remaining balances as attachment "C."
If assets remained the same from the last report, a copy of the last listing may be used.

7. Were changes made in investment during this report period? ☐ Yes ☐ No
Include as attachment "D" an itemized list of changes when applicable.

8. The total value of assets of the Ward at the close of this report period: $_____.

9. Termination: *Include as attachment "E" a statement of reasons for termination. Check one*

A. ☐ The court on the _____ day of _____, 20_____, ordered termination.

B. ☐ Termination is concurrently sought with approval of final report.

10. On termination, funds and assets of this conservatorship will be distributed, if any, to the following:

_____ _____
Full name: first, middle, last *Relationship to Ward*

11. Has notice of hearing on Final Report been waived? ☐ Yes ☐ No
If waived, attach copy of waiver.

12. Amount of Conservator's bond: $_____. Surety is:_____.
Company providing bond

Order approving final report and termination should discharge surety and release bond.

Rule 7.11 — Form 5: Final Report of Conservator, Continued

13. Guardian *Check one*

A. ☐ The Conservator is also the Guardian and has filed a Final Report of Guardian on

_____ _____, 20_____.
Month *Day* *Year*

B. ☐ The name of the Ward's Guardian is:_____
Full Name: first, middle, last

C. ☐ The Ward has no Guardian. *If you check C, you must fill in the following information.*

(1) The residence and physical location of Ward:

_____ _____ _____ _____
Street Address *City* *State* *ZIP code*

(2) General physical and mental condition of Ward:

_____

14. Other information relating to affairs of the conservatorship: *If conservatorship has special circumstances that do not adapt to this form, include attachment "F," setting out special circumstances in detail.*

15. Have final court costs been paid? ☐ Yes ☐ No

16. Fees for Conservator are: ☐ hereby applied for ☐ waived.
Attach Affidavit per Iowa Code section 633.202.

17. Fees for Conservator's attorney: *Check one*

A. ☐ should be set by the court.

B. ☐ are not requested.

C. ☐ are waived or not applicable.

Attach Affidavit per Iowa Code section 633.202, if fees requested.

18. Receipt(s) of the distributee(s) for the funds and assets of the conservatorship:
Check one

A. ☐ are attached.

B. ☐ will be attached to supplemental report after court approves Final Report.

19. Oath and Signature

I, _____, have read this Final Report, and I certify under penalty
Print Conservator's name

of perjury and pursuant to the laws of the State of Iowa that the information I have provided in this Final Report is true and correct.

_____ _____, 20_____ _____
Month *Day* *Year* *Conservator's signature**

_____ _____ _____ _____
Mailing address *City* *State* *ZIP code*

(_____) _____ _____ _____
Phone number *Email address* *Additional email address, if applicable*

* *Whether filing electronically or in paper, you must handwrite your signature on this form. If you are filing electronically, scan the form after signing it and then file electronically.*

Note: Bank statements, checks, receipts, stubs, and other items evidencing receipt of funds and payment must be available to the court on demand.

[Court Order November 15, 1984, effective December 10, 1984; December 4, 1984, effective December 10, 1984; Report November 9, 2001, effective February 15, 2002; May 23, 2014, effective August 16, 2014]

Rule 7.11 — Form 6: ***Protected Information Disclosure***

- *If information is abbreviated on other chapter 7 forms, use this form to include the protected information in full.*

In the Iowa District Court for _____ County

In the Matter of: _____ *Full name: first, middle, last* **Ward.**	Probate no._____ **Protected Information Disclosure**

When protected information, as defined in Rule 16.602, is required by law or is material to the case and is therefore included in nonconfidential documents on nonconfidential cases, a party shall record the protected information on this form.

For an explanation of a filer's responsibility and the procedures to use for protecting personal information, refer to Iowa Court Rules: Chapter 16, Rules Pertaining to the Use of the Electronic Document Management System, Division VI, Protection of Personal Privacy. Rule 16.602 provides the list of protected information. Rule 16.604 provides a list of information that may be redacted or partially provided.

1. Ward:_____
Full name: first, middle, last

Protected Information Type	**Complete Information** (See Rules 16.602 and 16.604)	**Partial Information** (See Rule 16.605)
Ward's name, if a minor		
Social security number		
Financial account number		
Date of birth		
Individual taxpayer identification number		
Personal identification number		
Other unique identifying number		

Continued on next page

Rule 7.11—Form 6: Protected Information Disclosure, continued

2. Other protected information:

_____

Full name: first, middle, last

Protected Information Type	Complete Information (See Rules 16.602 and 16.604)	Partial Information (See Rule 16.605)

3. Other protected information:

_____

Full name: first, middle, last

Protected Information Type	Complete Information (See Rules 16.602 and 16.604)	Partial Information (See Rule 16.605)

_____ _____, 20_____
Month *Day* *Year*

_____
Handwritten signature if fiiling this form in paper

_____
Printed full name if filing this form in paper

Information supplied by:

/s/_____
Full name: first, middle, last

_____
Law firm, if applicable

_____
Mailing address

_____
Telephone number

_____
Email address

_____
Additional email address, if applicable

[Court Order May 23, 2014, effective August 16, 2014]